

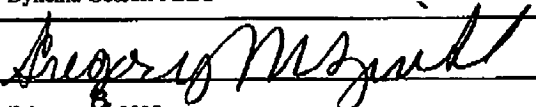
PTO/SB/21 (04-04)

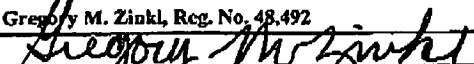
Approved for use through 07/31/2006. OMB 0651-0001
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/047,953
	Filing Date	January 15, 2002
	First Named Inventor	Mark O'Neill
	Art Unit	2182
	Examiner Name	not assigned
Total Number of Pages in This Submission	Attorney Docket Number	065363-0003

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory M. Zinkl, Ph.D. Patent Agent and Scientific Advisor Dykema Gossett PLLC
Signature	
Date	February 7, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	Gregory M. Zinkl, Reg. No. 48,492	Date	February 7, 2005
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mark O'Neill

Serial No.: 10/047,953 Group Art Unit: 2182
Filed: 01/15/2002 Examiner: Not assigned
For: System and Method for the Signing and Authentication of
Configuration Settings Using Electronic Signatures
Atty. Dkt. No.: 065363-0003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

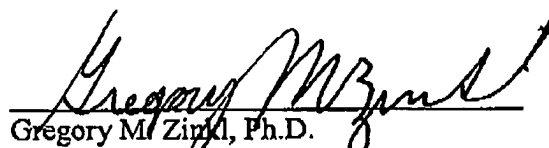
Sir:

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c)(1). A statement under 37 C.F.R. § 1.97(e)(1) is set forth below. Enclosed herewith is the List of References Cited by Applicant (PTO/SB/08a) (one sheet), as well as a copy of each reference listed thereon that is not a U.S. patent or published application. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of a search report obtained in connection with a corresponding foreign application has been included herewith.

For those references listed on attached Form PTO/SB/08a that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). For those references listed on attached Form PTO/SB/08a that are in a language other than English, Applicant refers the Examiner to the English language search report submitted herewith. MPEP § 609. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,



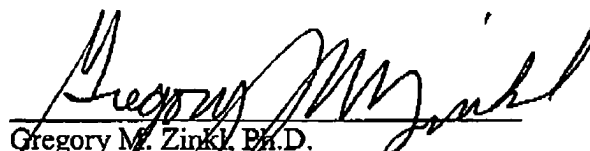
Gregory M. Zinkl, Ph.D.
Patent Agent and Scientific Advisor
Reg. No. 48,492
Customer No. 38,939
DYKEMA GOSSETT PLLC
10 South Wacker Drive
Suite 2300
Chicago, Illinois 60606
(312) 627-2126

Dated: 02/08/2005

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I, Gregory M. Zinkl, Ph.D., a registered Patent Attorney having a Registration No. 48,492, and representative for Applicants in U.S. Patent Application Serial No. 10/047,953 filed January 15, 2002, hereby certify that that each item of information contained in this Supplemental Information Disclosure Statement (including the accompanying Form PTO/SB/08a) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,



Gregory M. Zinkl, Ph.D.
Patent Agent and Scientific Advisor
Reg. No. 48,492

Dated: 02/08/2005

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this **Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97** is being transmitted via facsimile on this ⁴/₈th day of February, 2005

Patricia A. Fossier
Patricia A. Fossier

Doc Code:

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL for FY 2005		Application Number	10/047,953
		Filing Date	January 15, 2002
		First Named Inventor	Mark O'Neill
		Examiner Name	not assigned
		Art Unit	2182
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	065363-0003
TOTAL AMOUNT OF PAYMENT (\$)		\$180.00	

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Deposit Account Number: 04-2223 Deposit Account Name: Dykema Gossett

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (Including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims Fee (\$)	Fee Paid (\$)
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- 20 or HP = _____ x \$50.00 = \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)
 - 3 or HP = _____ x \$200.00 = \$0.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 = _____ / 50 _____ (round up to a whole) x \$250.00 = \$0.00

4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

Other (e.g. late filing surcharge): Fee set forth in 37 CFR 1.17(p) Information Disclosure Statement

\$180.00

SUBMITTED BY			
Signature	<i>Gregory M. Zinkl</i>	Registration No. (Attorney/Agent)	48,492
Name (Print/Type)	Gregory M. Zinkl, Ph.D.	Telephone	312-627-2126
		Date	February 7, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
065363-0003

In Re Application Of: Mark O'Neill

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/047,953	January 15, 2002	not assigned	38,939	2182	

Title: System and Method For The Signing And Authentication Of Configuration Settings Using Electronic Signatures

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


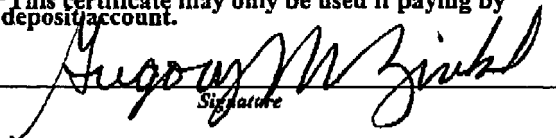
37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☒ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 065363-0003	
In Re Application: Mark O'Neill						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/047,953	January 15, 2002	not assigned	38,939	2182		
Title: System and Method For The Signing And Authentication Of Configuration Settings Using Electronic Signatures						
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))						
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 04-2223 as described below.						
<input checked="" type="checkbox"/> Charge the amount of \$180.00 <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.						
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
Certificate of Transmission by Facsimile*				Certificate of Mailing by First Class Mail		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9306)				I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on		
February 8, 2005 (Date)				_____ (Date)		
 Signature				_____ Signature of Person Mailing Correspondence		
Gregory M. Zinkl, Ph.D. Typed or Printed Name of Person Signing Certificate				_____ Typed or Printed Name of Person Mailing Certificate		
*This certificate may only be used if paying by deposit account.						
 Signature				Dated: February 8, 2005		

P10A/REV05

